CITADEL | Securities

Anti-Money Laundering Program Disclosures

Citadel Securities LLC and Citadel Securities Institutional LLC (together, "Citadel Securities") have established an anti-money laundering and economic sanctions program (the "AML Program") designed to ensure that Citadel Securities complies with the Bank Secrecy Act, sanctions regulations administered by the US Treasury's Office of Foreign Assets Control, and other applicable anti-money laundering and economic sanctions regulations.

As required by applicable regulations, Citadel Securities will obtain, verify, and record information that identifies entities with which it enters a formal relationship. Citadel Securities will ask for certain information so that it may verify its clients' and counterparties' identities, as well as those of their beneficial owners, controllers, senior executives, and other authorized representatives.

In connection with the establishment of a counterparty or client relationship with Citadel Securities, counterparties or clients agree to provide Citadel Securities with all information requested so that Citadel Securities may properly discharge its "know your customer" obligations under applicable anti-money laundering and economic sanctions regulations. Additionally, counterparties or clients who route orders to, or who agree to transaction terms with, Citadel Securities, agree that they will not cause Citadel Securities to violate any applicable anti-money laundering or economic sanctions regulations by virtue of that activity.

January 2026
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