

**Complaints**

Counterparties or clients who wish to lodge a complaint regarding Citadel Securities LLC or Citadel Institutional LLC, any of their employees, or the services they have provided may direct their complaint to:

Compliance Department  
Citadel Securities LLC or Citadel Institutional LLC  
Southeast Financial Center  
200 S. Biscayne Blvd  
Suite 3300  
Miami, FL 33131  
Telephone: 305-929-6851